



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,100	12/03/2001	Robert A. Shepherd JR.	NOVEP015

25920  
 MARTINE & PENILLA, LLP  
 710 LAKEWAY DRIVE  
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 SUNNYVALE, CA 94085



CONFIRMATION NO. 9461

## FORMALITIES LETTER



\*OC00000007370266\*

Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*James Caughran*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Haimanot Tegbamu*

44-2002-0072 000000000000  
 12/03/01

130.00 37

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

MP/13  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Robert A. SHEPHERD, Jr. et al.

Application No. 10/006,100

Filed: December 3, 2001

For: METHOD AND APPARATUS FOR  
PLASMA OPTIMIZATION IN  
WAFER PROCESSING



Examiner: Not Assigned

Art Unit: 3723

Docket No. NOVEP015

Date: March 28, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on March 28, 2002.

Signed: 

Diane Schwanbeck

RESPONSE TO NOTICE TO FILE MISSING PARTS

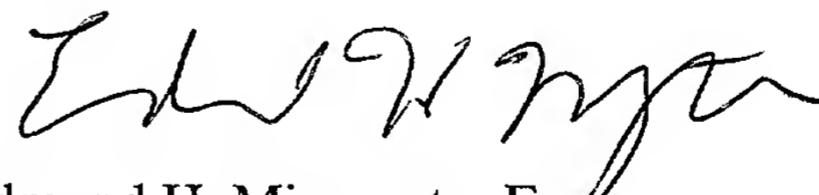
Commissioner for Patents  
**Box: MISSING PARTS**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted dated January 28, 2002, Applicants hereby attach a Declaration and Power of Attorney form signed by James Caughran, a Separate Letter to the Official Draftsperson with the formal drawings, and the copy of the Notice to be returned with this response.

Also attached is our Check No. 6639 for \$130.00 in payment of the surcharge. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0805 (Order No. NOVEP015). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,  
MARTINE & PENILLA, LLP



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